

Transfer

Use this form if you are an existing investor and wish to transfer units to another investor.

Complete all sections in BLOCK letters using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Instructions if you are transferring units to an existing investor.

If you are transferring units to an existing investor, the following needs to be completed:

- transferor needs to write their account number and account name as it appears on your latest statement
- transferee needs to write their account number and account name in section 2 as it appears on your latest statement
- both the transferor and transferee need to sign this form as per the 'Signing instructions' in section 5.

2. Instructions if you are transferring units to a new investor.

If you are transferring units to a new investor, the transferor needs to complete the following:

- write their account number and account name as it appears on the latest statement
- sign this form as per the 'Signing instructions' in section 5.

The transferee needs to:

- write their name in section 4 of this form and then sign the form as per the 'Signing instructions' in section 5
- complete the fund's application form
- complete the relevant identification document accompanying the application form
- arrange for copies of their identification documents to be certified and
- complete the tax information form accompanying the application form

3. Send your documents to us.

Before you submit your transfer form, please check that:

- both the transferor and transferee have signed the transfer form
- the transfer form and application form (if applicable), along with relevant identification form and documents and the tax information form (if applicable) are included.

Please post your original signed transfer form, original application and original tax information form (if applicable) and original certified copies of the relevant identification documents (if applicable) to us.

Send by post: Paradise Investment Management
GPO Box 804
Melbourne VIC 3001

4. If you have any questions, please contact Paradise Investor Relations on the above number, or investorrelations@paradice.com

1 DDO Obligations

The following questions may assist Equity Trustees Ltd in meeting its regulatory obligations by determining whether this financial product is being offered to the stated target market.

The below only needs to be answered where you are a direct [retail] investor (i.e. does not apply to Indirect or intermediated investments such as those made by platforms, custodians, etc).

Was this investment made based on personal advice received from your financial adviser?

☐ Yes (if your adviser has changed, please update the [change of details form](#))

☐ No - please complete the questions below:

A. What is your primary investment objective in relation to this investment? (select only one option)

Capital growth (you seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seeks an investment return above the current inflation rate). ☐

Capital preservation (you seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities). ☐

Income distribution (you seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generated assets (this may include high dividend-yielding equities, fixed income securities and money market instruments)). ☐

B. What is your investment timeframe in relation to this investment? (select only one option)

Up to and including 2 years (i.e. Short term) ☐

More than 2 years but less than 5 years (i.e. Medium term) ☐

Equal to 5 years but less than 7 years (i.e. Medium to long term) ☐

Equal to 7 years or more (i.e. Long term) ☐

C. Under normal circumstances, within what period do you expect to be able to access your funds for this investment? (select only one option)

Within one week ☐

Within one month ☐

Within three months ☐

Within one year ☐

More than one year ☐

Within five years ☐

Within ten years ☐

More than ten years ☐

At the issuers discretion ☐

D. In relation to this investment, which investment risk and return profile best describes you? (select only one option)

Low risk and return: You are looking for an investment that is low risk in nature (e.g. you can tolerate up to 1 negative return over a 20-year period and you are comfortable with a low target return from this investment).

☐

Medium risk and return: You are looking for an investment that is moderate or medium risk in nature (e.g. you can tolerate up to 4 negative returns over a 20-year period and you are comfortable with a moderate target return from this investment).

☐

High risk and return: You are looking for an investment that is higher risk in nature (e.g. you can tolerate up to 6 negative returns over a 20-year period in order to achieve a higher target return from this investment).

☐

Very high risk and return: You are looking for an investment that is very high risk in nature (e.g. you can tolerate 6 or more negative returns over a 20-year period as you are seeking to maximise returns and you can accept higher potential losses).

☐

Extremely high risk and return: You are for an investment that is extremely high risk in nature (e.g. you can accept significant volatility and losses as you are seeking to obtain accelerated returns (potentially in a short timeframe)).

☐

E. What percentage of your total investable assets are you directing to this fund - that is the total assets you have available for investment, excluding your residential home? (select only one option)

Solution/Standalone (up to 100%)

☐

Major allocation (up to 75%)

☐

Core component (up to 50%)

☐

Minor allocation (up to 25%)

☐

Satellite allocation (up to 10%)

☐

Note: Acceptance of your application should not be taken as a representation or confirmation that an investment in the fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.

2 Transfer details

Please indicate if you are making a full transfer or a partial transfer. If you are making a partial transfer, please specify the number of units or dollar amount you wish to transfer.

FUND NAME	APIR CODE	TRANSFER OPTION		
		(indicate preference with an X)		
		TRANSFER AMOUNT		
		\$AUD	Balance	Number of units
Paradice Australian Equities Fund	ETL8084AU		<input type="checkbox"/>	
Paradice Australian Mid Cap Fund – Class A	ETL9086AU		<input type="checkbox"/>	
Paradice Australian Small Cap Fund – Class B	ETL0739AU		<input type="checkbox"/>	
Paradice Global Small Cap Fund	ETL0365AU		<input type="checkbox"/>	
Paradice Australian Small Cap Opportunities Fund – Class A	ETL4624AU		<input type="checkbox"/>	
Paradice Equity Alpha Plus Fund	ETL8096AU		<input type="checkbox"/>	

☐

No Change of Beneficial Ownership

3 Transferor/ Seller details

Investor number

Investor name

4 Transferee/ Buyer details – existing investors only

If you are transferring units to a new investor, please complete the details below.

Investor number

Investor name

5 Transferee/ Buyer – new investors

If you are transferring units to a new investor, please complete the details below. The following forms also need to be completed by the new investor and can be obtained from the product disclosure statement:

- application form
- identification form
- tax information form.

New investor details

Title

Full given names

Surname

Company/Trust/Superannuation fund

6 Signing instructions

By completing and signing this form, you:

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Transferor/Seller

Signature of investor 1, director or authorised signatory

Please print full name

Signature Date

(DD/MM/YYYY)

Company officer (please indicate company capacity)

- ☐ Director
- ☐ Sole director and company secretary
- ☐ Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Signature Date

DD MM YYYY

(DD/MM/YYYY)

Company officer (please indicate company capacity)

- ☐ Director
- ☐ Company secretary
- ☐ Authorised signatory

Transferee/Buyer

Signature of investor 1, director or authorised signatory

Please print full name

Signature Date

(DD/MM/YYYY)

Company officer (please indicate company capacity)

- ☐ Director
- ☐ Sole director and company secretary
- ☐ Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Signature Date

(DD/MM/YYYY)

Company officer (please indicate company capacity)

- ☐ Director
- ☐ Company secretary
- ☐ Authorised signatory